



Pre-Application Checklist

Special Purposes Exemption Application for Equipment

The following is a list of the information you should have before starting your Special Purposes Exemption application under 49 U.S.C. 30114(a). If you need assistance, contact SpecialExemptions@dot.gov, including in the subject line “Special Purposes Exemption Application for Equipment” and (as applicable) your submission ID.

If your response contains any material that you wish to claim as confidential business information, you must submit a request for confidential treatment ([49 CFR Part 512](#)). Instructions for submission are available upon request.

If you are a manufacturer, please also ensure you have met the VIN and Manufacturer Identification requirements under 49 CFR 566 by registering in the [Manufacturer Portal](#).

SECTION 1: Equipment

- Applicant contact information
- If you are seeking permission to import the equipment into the United States, you must provide broker contact information and a filer code
- Equipment information
 - Equipment type (brake fluid, brake hoses, child restraint systems, compressed natural gas fuel containers, glazing materials, motorcycle helmets, platform lift systems, rear impact guards, seat belt assemblies, tires, warning devices, or lamps, reflective devices, and associated equipment)
 - Quantity
 - Make/Brand
 - Model
 - TIN(s) or S/N(s)
 - Year and month of manufacture
- Purpose of exemption
 - Research
 - Investigation
 - Demonstration
 - Training
 - Competitive Racing Event

- A brief description of the purpose for the exemption and why it is necessary
- Address and/or description of the location where the equipment will be operated or tested
- Interaction with the public
 - Will the equipment be operated on public roads or outside of controlled laboratory/test track conditions at any time?
 - Will the equipment be operated near members of the public (e.g., pedestrians, bicyclists, motor vehicles) at any time?
 - Will the equipment be involved in transporting members of the public at any time?
- The intended means of final disposition after completion of the purposes of the exemption
 - Export
 - Destroy
 - (For domestically produced equipment only) Agree to retain possession and keep the equipment off public roads
- The requested duration of the permission in weeks

SECTION 2: Equipment Interacting with the Public

If the equipment will be used on public roads, outside of controlled laboratory/test track conditions, near members of the public, or be involved in transporting members of the public at any time, you must also provide the following:

- Noncompliance with specific FMVSS
 - For each applicable Federal Motor Vehicle Safety Standard (FMVSS), indicate if the equipment meets the requirements
 - If you select **Yes**, you are indicating that the equipment meets all requirements for that FMVSS.
 - If you select **No** or **Unknown**, describe the potential noncompliance and explain how any risks resulting from the potential noncompliance will be mitigated. If the mitigation includes compliance with standards other than the FMVSS, identify each such standard and explain how the equipment’s conformity to the other standard compares to compliance with the corresponding FMVSS provision
 - If you select **Not Applicable**, explain why you believe that FMVSS does not apply

FMVSS #	Meets the Requirements? <small>(Yes, No, Unknown, Not Applicable)</small>	Description/Explanation	Mitigation

- Will you maintain insurance coverage from a regulated insurance company at all times in a sufficient amount to cover liability for damages, including for bodily injury or death, that may result from the use of the equipment in the manner and location(s) described in this application?
- Photos of the equipment. If requesting exemption for multiple items of equipment, you may provide photos of a single item of equipment that are representative of the group